

Tech Spec Updates

IM Tech Spec Publications

- ▶ On 3/5, the following versions of the IM Tech Spec were published:
 - Phase 2c v3.1.0 r11 (clean and redline)
 - Phase 2d v4.0.0 r7 (clean and redline)
- ▶ On 3/5, the following versions of the IM Scenarios Document were published:
 - Phase 2c v3.10 (clean and redline)
 - Phase 2d v4.1 (clean and redline)
- ▶ Updated versions of the IM Tech Spec will be published on the first Friday of each month. The next publication will be 4/2/2021.

Phase 2c Updates

- ▶ Clarified the definition of *handlingInstructions* value 'DIR'
- ▶ Updated the definition of *allocationType* values 'FCUS', 'FDVP', 'FCUF' and 'FDVF'
- ▶ Added error code 2147 (Missing or Invalid *firmDetails*)

Phase 2d Updates

- ▶ Added *TIDType* field to allocation events and removed *custType* field
- ▶ Removed *handlingInstructions* value 'CNH' and added value 'CASH'
- ▶ Removed *handlingInstructions* value 'GVWAP' and added value 'GP'
- ▶ Updated requirements for reporting unsolicited cancels
- ▶ Clarified the guidance for reporting partial cancels
- ▶ Replaced *leavesQty* field with *cancelQty* field on Internal Route Cancel Request events
- ▶ Removed *netPrice* field from MECR event

Multi-Leg Orders

- ▶ Defined *priceType* field and values
- ▶ Clarified the guidance for reporting modifications of a multi-leg order
- ▶ Added *leavesQty* and *cancelQty* to MLOC event
- ▶ Removed *side* field from the body of MLMR, MLIM, MLIMR, MLCOC, MLCOM, and MLOMR

We are in Webinar Mode this morning

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- **For participants using computer audio:**
 - Click the “Raise Hand” button at the bottom of the participants window.
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