

TSWG Q&A

4/6/21

1. Why was the following sentence removed from the Description column for the *leavesQty* field in cancel events in the March 5, 2021 spec update: “Must be less than or equal to quantity.”
2. When must the ‘OFF’ *handlingInstructions* value be populated in Phase 2d?
3. How must clearing firms report an allocation event if the DTC number is not yet available?
4. During the March 9, 2021 Connectivity Webinar, it was advised that Industry Members and CAT Reporting agents using MNSP connections establish redundant connections into an MNSP’s East-2 region location for disaster recovery purposes. However, my MNSP provider stated that it already provides redundant connections into the East-2 region. Can FINRA CAT provide more information on its recommendations?