

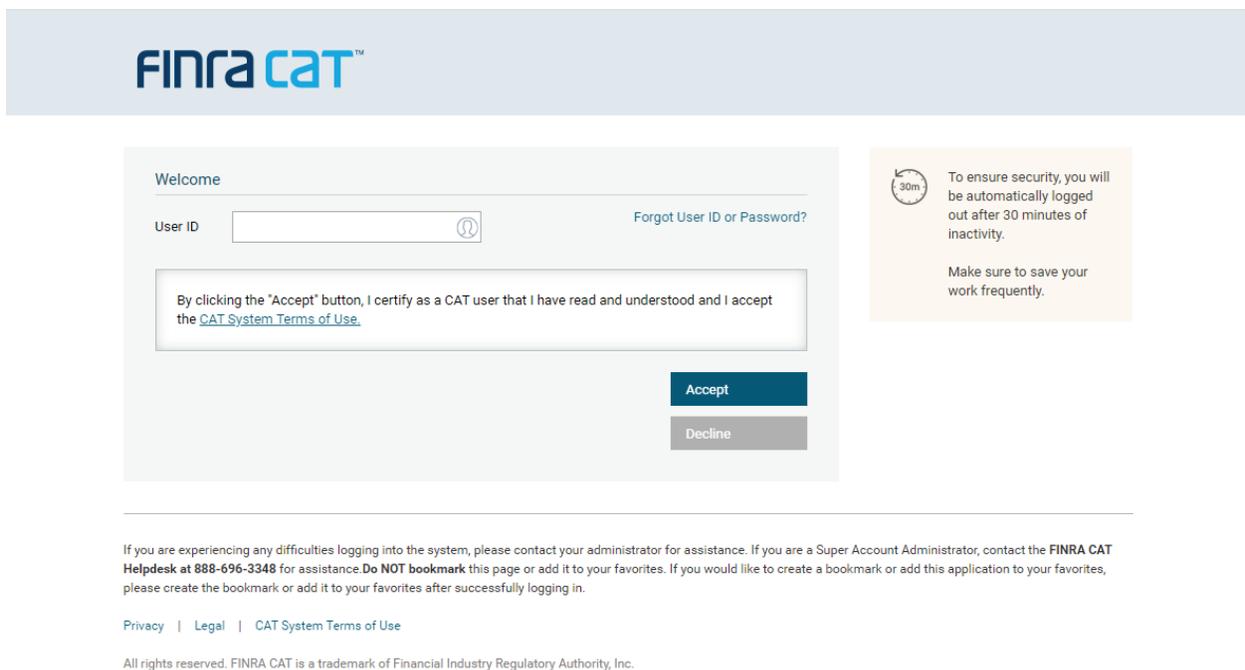
# Simplified Login for FINRA Systems – Overview

The login User Interface will be updated on June 20, 2021 to provide a simpler, more consistent experience across various FINRA applications and platforms.

## What has changed?

### Current Interface

In the current login screen, the User ID must first be entered, followed by acceptance of the Terms and Conditions. This is then followed by a second screen on which the password is entered.

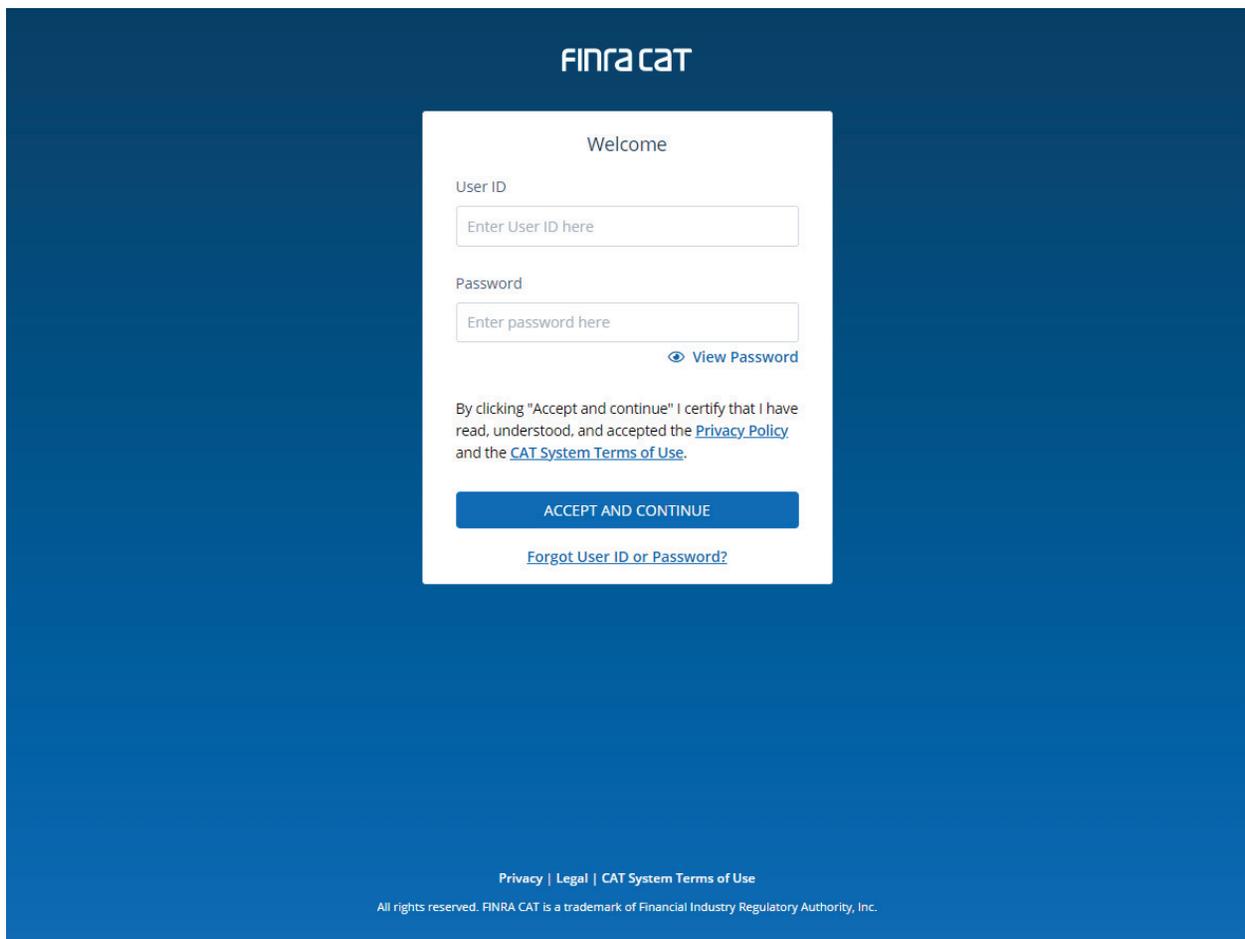


The screenshot displays the FINRA CAT login interface. At the top left is the FINRA CAT logo. Below it, the word "Welcome" is displayed. A "User ID" input field is present, followed by a "Forgot User ID or Password?" link. A large text box contains the terms and conditions acceptance text: "By clicking the 'Accept' button, I certify as a CAT user that I have read and understood and I accept the [CAT System Terms of Use](#)." Below this text are two buttons: "Accept" (in blue) and "Decline" (in grey). To the right of the main form is a yellow warning box with a 30-minute timer icon, stating: "To ensure security, you will be automatically logged out after 30 minutes of inactivity. Make sure to save your work frequently." At the bottom of the page, there is a footer with contact information: "If you are experiencing any difficulties logging into the system, please contact your administrator for assistance. If you are a Super Account Administrator, contact the FINRA CAT Helpdesk at 888-696-3348 for assistance. Do NOT bookmark this page or add it to your favorites. If you would like to create a bookmark or add this application to your favorites, please create the bookmark or add it to your favorites after successfully logging in." Below this is a navigation menu with links for "Privacy", "Legal", and "CAT System Terms of Use". The final line of the footer reads: "All rights reserved. FINRA CAT is a trademark of Financial Industry Regulatory Authority, Inc."

## New Login Screens – User ID/Password Login

In the new login screen, the User ID and Password are entered on the same screen. To login, enter a valid User ID and Password and click on the “Accept and Continue” button.

The Terms and Conditions and Privacy Policy are presented as links that can be referenced rather than text to scroll through.



FINRA CAT

Welcome

User ID

Enter User ID here

Password

Enter password here

[View Password](#)

By clicking "Accept and continue" I certify that I have read, understood, and accepted the [Privacy Policy](#) and the [CAT System Terms of Use](#).

ACCEPT AND CONTINUE

[Forgot User ID or Password?](#)

[Privacy](#) | [Legal](#) | [CAT System Terms of Use](#)

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## Frequently Asked Questions

### When will the new log in experience take effect?

The new login screen will be available in Production Mirror on June 6, 2021 and Production on June 20, 2021.

### Will I need a new User ID and Password?

No – your existing credentials will continue to work in the new login screen.

**What if I don't remember my User ID or Password?**

There will be a "Forgot User ID or Password" link available to assist.

**Are the applications I use also changing?**

This change only pertains to the login screens.

**Why am I being asked to answer a Security Question?**

The time frame the login process will remember a device has been shortened. To improve security, it now remembers your device for only 30 days.