
Monthly CAT Update

February 20, 2025

Agenda

- Transaction System
- CAIS
- CAT Billing
- Compliance
- Upcoming Industry Webinars and Other Updates
- Q&A
- Appendix- Transaction Production Statistics

Transaction System Updates

Exemptive Relief Orders

- ▶ On January 17, 2025, the SEC issued an [order](#) granting a six-month extension of previously granted exemptive relief from certain requirements of the CAT NMS Plan related to lifecycle linkages between customer orders and representative orders, until July 31, 2025.

Transaction Guidance Updates

- ▶ No new Transaction [FAQs](#) were published.
- ▶ Three Transaction [FAQs](#) were updated:
 - FAQs [F5](#), [F6](#) and [F7](#) were updated to reflect the exemptive relief issued by the SEC on January 17, 2025.
- ▶ The following were updated and published on January 22, 2025:
 - [v4.1.0 r5 of the Phase 2d Industry Member CAT Reporting Technical Specifications](#) (clean and redlined)
 - [v4.13 of the Phase 2d Industry Member Reporting Scenarios](#) (clean and redlined)
- ▶ The recording and presentation from the February 11, 2025 [CAT Transaction and CAIS Technical Specifications Working Group](#) on updates to the Transaction and CAIS Technical Specifications has been published.

Transaction: 2025 Industry Member Release Rollout

Second Quarter Release

Spec Publication – 10/18/2024 &
1/22/2025

Industry Test – 1/27/2025

Production/Production Mirror –
4/14/2025

Bona Fide Market Maker Flag

- Add *BFMMFlag* as a conditional Boolean field (true/false) on MENO, MEOM, MEOT and MEOTS events to identify Short Sale orders originated or modified when relying on the bona fide Market Making exception under Rule 203(b)(2)(iii) of Regulation SHO.

The compliance date for BFMMFlag reporting is July 1, 2025.

Removal of *handlingInstructions* Values

- Remove 'MAO', 'MAC', 'AOB' and 'MOB' *handlingInstructions* values.

Minor Enhancements (Industry Test: 3/3/2025)

- Retire 897 Warning (Early reported event).

Minor Bug Fixes

Third Quarter Release

Spec Publication – March 2025

Industry Test – May 2025

Production/Production Mirror –
August 2025

Add New Error Codes in Current Feedback

- **Ingestion:** To validate presence of FDID or Aggregated Orders on supplement events: MENOS, MONOS and MLOS.

Reporter Portal

- Improve user experience

Minor Bug Fixes

Fourth Quarter Release

Spec Publication – July 2025

Industry Test – September 2025

Production/Production Mirror –
December 2025

Add New Error Codes in Current Feedback

- **Linkage:** To identify Pending FDID Warnings and Errors.

Additional RFQ enhancements as needed

Reporter Portal

- Pending FDID Warnings and Errors Reconciliation and Statistics.

Minor Bug Fixes

CAIS Updates

SEC's CAIS Exemption Order

- ▶ New [CAT Alert 2025-1](#) provides notice to Industry Members that on February 10, 2025, the SEC published an order (the “Exemption Order”) granting exemptive relief related to the reporting of names, addresses, and years of birth for natural persons reported with transformed social security numbers/individual tax payer identification numbers to CAIS. CAT LLC anticipates publishing a subsequent CAT Alert at a future date to communicate additional information related to the effects of the SEC’s Exemption Order on CAIS reporting.

CAIS Guidance Updates

- ▶ No new or updated CAIS [FAQs](#) were published.
- ▶ V2.2.0 r2 of the [Customer & Account Technical Specifications for Industry Members](#) (Clean and Redlined) was published on February 20, 2025.
- ▶ There have been no updates to the Full CAIS Reporting Scenarios document.

CAIS: 2025 Industry Member Release Rollout

CAIS Report Card Enhancements

Production Date – May 2025
Report Card

Published June 2025

- Add count of FDIDs overdue for refresh to CAIS Monthly Report Card

CAIS Report Card Enhancements

Production Date – October
2025 Report Card

Published November 2025

- Calculate Compliance Error Rate based on Unique Identifier
- Incorporate Tiers and Peer Group Calculations

FDID Refresh Reports Reminder

- ▶ The first FDID Refresh Reports were delivered to the Test Environment on December 4, 2024 and the first FDID Refresh Reports were delivered to the Production Environment on January 6, 2025.
- ▶ FDID Refresh Reports will continue to be delivered on the third CAT Trading Day of each following month.
- ▶ The FDID Refresh Reports for January, February and March of 2025 are being delivered in the Production Environment for testing purposes ahead of the first Periodic Customer & Account Information Refresh Due Date of May 31, 2025.
- ▶ All FDIDs on the April 3, 2025 FDID Refresh Report must be refreshed no later than midnight Eastern Time on May 31, 2025.
- ▶ More information on the refresh requirement can be found in the Periodic Customer & Account Information Refresh section of the CAT Reporting Customer and Account Technical Specifications for Industry Members-Full CAIS.

FDID Refresh Reports– Location

- ▶ SFTP location: *submitterID/cat/cais/reports* directory
- ▶ CAIS Portal location:

The screenshot displays the 'Report Management' section of the CAIS Portal. A vertical navigation menu on the left includes 'Home', 'Submissions', 'Reports', and 'User'. The main content area features a horizontal menu with the following items: 'Submission Report', 'Inconsistencies Report', 'Download Feedback', 'FDID Reconciliation Report', 'Unique Rejections Report', and 'FDID Refresh Report'. The 'FDID Refresh Report' item is highlighted with a red rectangular box. Below the menu is a yellow informational banner with an information icon and text: 'The FDID Refresh Reports for January, February and March of 2025 are being delivered in the Production Environment for testing purposes and unrefreshed FDIDs may display a Refresh Due Date in the past and a Status of OVERDUE. The first FDID Refresh Report will be delivered for compliance with the [Periodic Customer & Account Information Refresh](#) requirement on April 3, 2025. All FDIDs listed on the April 2025 Refresh Report must be refreshed no later than midnight Eastern Time on May 31, 2025.' Below the banner is a table header with columns for 'Processing Date', 'File Name', and 'Download'. A search input field is located below the 'Processing Date' column.

CAT Billing Updates

CAT Billing Guidance Updates

- ▶ No new or updated CAT Billing [FAQs](#) were published.
- ▶ There have been no updates to the CAT Technical Specifications for Billing Trade Details or to the CAT Billing Scenarios.

2025 CAT Billing Release Rollout

Second Quarter Release Production – June 2025

SFTP and Reporter Portal

- Split the IM Billing Trade Details files into separate files for exchange and TRF

Third Quarter Release Production– TBD

SFTP

- Deliver PDF invoice

Reporter Portal

- Add notifications/alerts for billing updates
- Add filtering and sorting for Invoice Screen

Planned Industry Member releases and Technical Specification updates are subject to approval by the CAT NMS Plan Operating Committee.

Billing Trade Details Files: Technical Details

- ▶ The Billing Trade Details Files currently contain *both* Exchange and TRF records. Based on Industry feedback, the Billing Trade Details Files are being split into separate files for TRF and exchange records, respectively, effective with the May 2025 invoice issued on June 25, 2025. This change is meant to assist Industry Members in their reconciliation processes. All other aspects of the schema, including field positions in CSV files, will remain unchanged.
- ▶ This change will necessitate changes to the File name:
 - Current File Name:
 - <invoice_trade_details>_<CRD of Executing Broker receiving the CAT Billing Trade Details File>_<Invoice Number>_<Sequence number of the file, 4-digits long left-padded with zeros>.<Format Extension>. <Compression Extension>
 - Examples:
 - invoice_trade_details_99999999_CBS20250512345_0001.csv.bz2
 - invoice_trade_details_99999999_CBS20250512345_0001.json.bz2
 - File Names beginning June 25, 2025:
 - <invoice_trade_details>_<record type>_<CRD of Executing Broker receiving the CAT Billing Trade Details File>_<Invoice Number>_<Sequence number of the file, 4-digits long left-padded with zeros>.<Format Extension>. <Compression Extension>
 - Examples:
 - invoice_trade_details_exchange_99999999_CBS20250512345_0001.csv.bz2
 - invoice_trade_details_trf_99999999_CBS20250512345_0001.csv.bz2

CAT Billing: CAT Invoices

- ▶ The payable CAT invoice representing CAT billable trading activity for January 2025 is scheduled to be published to CAT Executing Brokers on February 25, 2025.
- ▶ The invoice due date is March 27, 2025.
- ▶ It will include CAT Fee 2025-1 and Historical CAT Assessment 1.
- ▶ The billing trade details for January 2025 are also scheduled to be published to CAT Executing Brokers on February 25, 2025.

CAT Billing: Payable CAT Invoices

- ▶ New [CAT Fee Alert 2025-1](#) provides notice to Industry Members that CAT Fee 2025-1 (which has a fee rate of \$0.000022 per executed equivalent share) will replace CAT Fee 2024-1 (which has a fee rate of \$0.000035 per executed equivalent share) beginning with the February 2025 invoice.

CAT Billing: Reminder of CAT Fee Alerts

- ▶ [CAT Fee Alert 2024-1](#) provides notice to Industry Members of the implementation of CAT Fee 2024-1, including the effective dates and the fee rate of \$0.000035.
- ▶ [CAT Fee Alert 2024-2](#) provides notice to Industry Members of the implementation of Historical CAT Assessment 1, including the effective dates and the fee rate of \$0.000013.
- ▶ [CAT Fee Alert 2024-3](#) provides notice to Industry Members of the proposed implementation of CAT Fee 2025-1, including the effective dates and the fee rate of \$0.000022. CAT Fee 2025-1 is separate from and will be in addition to any Historical CAT Assessment to Industry Members.

Compliance Updates

Industry Member Report Card Updates

- ▶ The January 2025 CAT Industry Member Transaction and the Full CAIS Report Cards were published on February 15, 2025.
- ▶ Other Compliance Resources:
 - [Industry Member Report Card- Known Issues List](#)
 - [Transaction Compliance Glossary](#)
 - [CAIS Compliance Glossary](#)
 - Previously Recorded and Posted [Report Card Webinars](#)

Annual Clock Synchronization Certification

- ▶ Industry Members must complete an annual certification for Business Clock Synchronization by March 15th of each year.
- ▶ Industry Members are not required to submit such certifications to the exchanges or FINRA.
 - Originals of such certifications must be maintained by Industry Members as part of their books and records and be available to regulators upon request.
 - Industry Members who are members of multiple Participants need only make a single clock synchronization certification.
- ▶ Available on the [Clock Synchronization](#) page:
 - A copy of the [certification form](#)
 - The original [requirements announcement](#).

Upcoming Industry Webinars and Other Updates

Monthly CAT Update

- ▶ The next [Monthly CAT Update](#) will be held on March 20, 2025 at 4:15 pm ET.
- ▶ The recording and presentation from the January 16, 2025 [Monthly CAT Update](#) are available on the CAT website.

Live CAT Overview Training Sessions

- ▶ FINRA CAT, LLC is offering live and interactive CAT Overview training sessions for Industry Members and CAT Reporting Agents.
- ▶ The CAT Overview focuses on the CAT NMS Plan, Transaction, and CAIS and Report Cards, and includes a live demonstration of the CAT Reporter Portal.
- ▶ All training sessions are fully customizable to suit your firm's needs.
- ▶ Please contact the FINRA CAT Helpdesk at help@finracat.com or (888) 696-3348 to schedule a training session for your firm.

FINRA CAT Helpdesk

Questions may be directed to the FINRA CAT Helpdesk at 888-696-3348 or help@finracat.com

Q&A

How to Ask a Question during Today's Call

- **For participants using computer audio:**
 - Click the “Raise Hand” button at the bottom of the participants window.
 - A visual prompt will indicate that your line has been unmuted.
- **For participants using phone audio:**
 - Enter *9 on your phone keypad.
 - An audio prompt will indicate that your line has been unmuted.

Appendix

Equities – Rolling Five Day Period

Initial T+1 vs. T+5 8 am Data Ready for Regulators

January 10, 2025 – January 28, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
1/10/2025	.0344%	.0003%	.0002%	.0054%	.0052%	.0166%	.0020%	.0641%	.0398%	.0007%	.0006%	.0079%	.0078%	.0469%	.0426%
1/13/2025	17.0694%	.0002%	.0000%	.0056%	.0048%	.0120%	.0008%	16.8715%	.0229%	.0007%	.0006%	.0205%	.0204%	18.8357%	17.0752%
1/14/2025	4.2028%	.0003%	.0000%	.0050%	.0040%	.0076%	.0008%	4.7251%	.0003%	.0006%	.0005%	.0126%	.0124%	4.6952%	4.2058%
1/15/2025	.4040%	.0001%	.0000%	.0053%	.0048%	.0332%	.0177%	.4431%	.0005%	.0008%	.0006%	.0211%	.0210%	.4568%	.4094%
1/16/2025	.5038%	.0009%	.0000%	.3557%	.0338%	.0165%	.0153%	.4121%	.4107%	.0008%	.0007%	.0187%	.0178%	.8007%	.5717%
1/17/2025	.0477%	.0001%	.0000%	.0047%	.0045%	.0087%	.0009%	.0017%	.0003%	.0009%	.0005%	.0248%	.0247%	.0523%	.0511%
1/21/2025	.0181%	.0002%	.0001%	.0075%	.0056%	.0117%	.0023%	.0215%	.0005%	.0009%	.0005%	.0074%	.0072%	.0272%	.0225%
1/22/2025	.0062%	.0002%	.0001%	.0068%	.0055%	.0289%	.0010%	.0028%	.0014%	.0009%	.0005%	.0078%	.0075%	.0147%	.0105%
1/23/2025	.1129%	.0001%	.0000%	.0049%	.0045%	.5306%	.0074%	.0304%	.0006%	.0009%	.0005%	.0295%	.0295%	.1754%	.1170%
1/24/2025	.0036%	.0001%	.0000%	.0052%	.0047%	.0068%	.0052%	.0029%	.0006%	.0009%	.0005%	.0106%	.0101%	.0085%	.0076%
1/27/2025	.0020%	.0016%	.0016%	.0055%	.0044%	.0168%	.0153%	.0179%	.0142%	.0641%	.0625%	.0090%	.0086%	.0189%	.0173%
1/28/2025	.0078%	.0019%	.0018%	.0049%	.0044%	.0065%	.0010%	.0412%	.0160%	.0002%	.0002%	.0099%	.0096%	.0181%	.0145%

Equities – Rolling Five Day Period

Initial T+1 vs. T+5 8 am Data Ready for Regulators

January 29, 2025 – February 13, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
1/29/2025	.1561%	.0024%	.0016%	.0066%	.0035%	.0065%	.0005%	.4211%	.0187%	.0002%	.0001%	.0003%	.0002%	.2073%	.1621%
1/30/2025	.8224%	.0078%	.0026%	.8422%	.0072%	.0120%	.0007%	.0685%	.0127%	.0002%	.0001%	.0003%	.0003%	1.4332%	.8314%
1/31/2025	.0015%	.0000%	.0000%	.0064%	.0056%	.0064%	.0009%	.0076%	.0063%	.0002%	.0002%	.0002%	.0001%	.0075%	.0063%
2/3/2025	.2636%	.0001%	.0000%	.0070%	.0061%	.0342%	.0004%	.6749%	.0065%	.0009%	.0002%	.0002%	.0002%	.3404%	.2687%
2/4/2025	.0027%	.0002%	.0001%	.0077%	.0070%	.0130%	.0009%	.0083%	.0067%	.0005%	.0005%	.0002%	.0001%	.0106%	.0085%
2/5/2025	.0232%	.0001%	.0001%	.0078%	.0074%	.0153%	.0007%	.0316%	.0005%	.0003%	.0002%	.0006%	.0005%	.0333%	.0282%
2/6/2025	.0000%	.0001%	.0000%	.0076%	.0074%	.0086%	.0077%	.0176%	.0163%	.0001%	.0000%	.0004%	.0002%	.0082%	.0077%
2/7/2025	.0514%	.0007%	.0006%	.0062%	.0061%	.0179%	.0008%	.0234%	.0077%	.0001%	.0000%	.0003%	.0002%	.0608%	.0572%
2/10/2025	.0061%	.0004%	.0001%	.0123%	.0085%	.0202%	.0013%	.0048%	.0012%	.0001%	.0001%	.0001%	.0000%	.0176%	.0123%
2/11/2025	.0041%	.0001%	.0000%	.0140%	.0076%	.0047%	.0016%	.0036%	.0014%	.0001%	.0001%	.0009%	.0008%	.0149%	.0098%
2/12/2025	.0039%	.0001%	.0000%	.0070%	.0069%	.0126%	.0015%	.0031%	.0014%	.0001%	.0000%	.0002%	.0001%	.0107%	.0091%
2/13/2025	.0013%	.0001%	.0000%	.0074%	.0071%	.0048%	.0036%	.0031%	.0007%	.0001%	.0001%	.0002%	.0001%	.0074%	.0068%

Options – Rolling Five Day Period

Initial T+1 vs. T+5 8 am Data Ready for Regulators

January 10, 2025 – January 28, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
1/10/2025	.0011%	.0001%	.0000%	.0020%	.0020%	.0024%	.0013%	1.2328%	1.0808%	.0001%	.0000%	.0510%	.0328%	.0053%	.0048%
1/13/2025	.0002%	.0001%	.0000%	.0014%	.0014%	.0023%	.0011%	.0807%	.0295%	.0001%	.0000%	.0649%	.0458%	.0015%	.0013%
1/14/2025	.0001%	.0001%	.0000%	.0015%	.0015%	.0027%	.0012%	.0502%	.0298%	.0001%	.0000%	.0626%	.0417%	.0014%	.0012%
1/15/2025	.0000%	.0001%	.0000%	.0019%	.0018%	.0037%	.0020%	.0394%	.0320%	.0002%	.0000%	.0814%	.0521%	.0016%	.0014%
1/16/2025	.0043%	.0001%	.0000%	.0018%	.0018%	.0028%	.0016%	.0347%	.0278%	.0000%	.0000%	.0307%	.0246%	.0058%	.0056%
1/17/2025	.0001%	.0002%	.0000%	.0024%	.0024%	.0035%	.0023%	.0471%	.0456%	.0001%	.0000%	.0312%	.0173%	.0021%	.0019%
1/21/2025	.0001%	.0001%	.0000%	.0019%	.0018%	.0037%	.0017%	.0374%	.0349%	.0000%	.0000%	.0525%	.0306%	.0017%	.0015%
1/22/2025	.0074%	.0001%	.0000%	.0018%	.0017%	.0089%	.0013%	.2180%	.2164%	.0000%	.0000%	.0068%	.0000%	.0092%	.0091%
1/23/2025	.0063%	.0001%	.0000%	.0018%	.0017%	.0085%	.0016%	.0408%	.0390%	.0000%	.0000%	.0355%	.0178%	.0078%	.0075%
1/24/2025	.0000%	.0002%	.0000%	.0021%	.0020%	.0029%	.0019%	.0387%	.0371%	.0000%	.0000%	.0280%	.0234%	.0018%	.0016%
1/27/2025	.0057%	.0024%	.0023%	.0019%	.0018%	.0025%	.0014%	1.0933%	1.0487%	.0001%	.0001%	.0736%	.0409%	.0120%	.0116%
1/28/2025	.0001%	.0017%	.0016%	.0014%	.0014%	.0021%	.0012%	.7870%	.7777%	.0000%	.0000%	.0964%	.0530%	.0044%	.0043%

Options – Rolling Five Day Period

Initial T+1 vs. T+5 8 am Data Ready for Regulators

January 29, 2025 – February 13, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
1/29/2025	.0004%	.0007%	.0006%	.0013%	.0013%	.0024%	.0014%	.3180%	.3146%	.0000%	.0000%	.0282%	.0056%	.0028%	.0026%
1/30/2025	.0068%	.0008%	.0005%	.0107%	.0015%	.0028%	.0013%	.3371%	.2401%	.0000%	.0000%	.0325%	.0122%	.0160%	.0088%
1/31/2025	.0003%	.0010%	.0000%	.0017%	.0017%	.0032%	.0012%	.3950%	.0317%	.0002%	.0002%	.0134%	.0033%	.0035%	.0016%
2/3/2025	.0046%	.0010%	.0000%	.0018%	.0017%	.0031%	.0015%	1.1013%	.2100%	.0002%	.0000%	.0082%	.0082%	.0094%	.0064%
2/4/2025	.0000%	.0008%	.0000%	.0017%	.0016%	.0025%	.0014%	.3492%	.0271%	.0001%	.0000%	.0000%	.0000%	.0028%	.0012%
2/5/2025	.0000%	.0008%	.0000%	.0016%	.0012%	.0021%	.0010%	.3638%	.0266%	.0000%	.0000%	.0049%	.0000%	.0028%	.0009%
2/6/2025	.0000%	.0008%	.0000%	.0016%	.0011%	.0022%	.0012%	.3675%	.0333%	.0001%	.0000%	.0000%	.0000%	.0028%	.0009%
2/7/2025	.0000%	.0009%	.0000%	.0020%	.0014%	.0030%	.0014%	.4179%	.0345%	.0003%	.0000%	.0168%	.0084%	.0034%	.0011%
2/10/2025	.0000%	.0008%	.0000%	.0011%	.0010%	.0026%	.0010%	.3586%	.0291%	.0001%	.0000%	.0668%	.0501%	.0023%	.0008%
2/11/2025	.0000%	.0007%	.0000%	.0010%	.0009%	.0024%	.0013%	.3733%	.0350%	.0002%	.0000%	.0458%	.0153%	.0022%	.0008%
2/12/2025	.0000%	.0008%	.0000%	.0010%	.0010%	.0019%	.0008%	.3635%	.0270%	.0136%	.0136%	.0233%	.0000%	.0060%	.0045%
2/13/2025	.0000%	.0009%	.0000%	.0012%	.0011%	.0020%	.0009%	.3640%	.0260%	.0318%	.0317%	.0036%	.0036%	.0113%	.0097%

Equities – Industry Aggregate Trade Date Statistics January 10, 2025 – January 28, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-01-10	55,765,669,846	55,764,998,339	22,725,858	26,900,391
2025-01-13	49,117,489,405	49,117,058,553	8,411,703,953	8,413,353,226
2025-01-14	47,941,798,301	47,941,378,972	2,036,413,881	2,037,789,376
2025-01-15	40,388,938,127	40,388,759,752	186,215,513	187,604,207
2025-01-16	44,852,205,674	44,851,603,064	286,769,347	288,458,161
2025-01-17	37,758,923,595	37,758,800,065	20,736,544	21,937,342
2025-01-21	42,635,152,321	42,634,951,208	28,615,682	30,282,775
2025-01-22	37,167,958,473	37,167,761,163	22,048,192	23,552,289
2025-01-23	38,310,370,581	38,310,239,301	65,951,572	67,241,690
2025-01-24	36,534,116,284	36,534,008,125	19,520,524	20,802,402
2025-01-27	60,431,109,648	60,429,523,305	29,955,297	36,835,165
2025-01-28	44,051,683,569	44,050,749,124	23,479,459	26,385,953

Equities – Industry Aggregate Trade Date Statistics January 29, 2025 – February 13, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-01-29	52,007,339,091	52,006,037,598	106,129,048	109,265,660
2025-01-30	47,022,797,269	47,018,168,909	409,465,515	413,703,612
2025-01-31	53,570,207,088	53,570,096,273	29,171,842	31,696,139
2025-02-03	65,672,510,089	65,672,299,315	201,914,274	205,228,518
2025-02-04	42,765,538,469	42,765,353,703	20,243,525	22,729,666
2025-02-05	39,737,207,120	39,737,067,923	27,795,666	29,793,997
2025-02-06	39,466,523,290	39,466,267,122	17,664,970	20,441,605
2025-02-07	52,275,063,785	52,274,473,791	26,863,470	29,878,040
2025-02-10	34,590,185,324	34,589,579,478	2,104,369	4,237,452
2025-02-11	35,752,073,168	35,751,920,855	1,471,795	3,500,286
2025-02-12	41,335,005,447	41,334,839,423	1,599,229	3,743,178
2025-02-13	41,844,092,940	41,843,993,030	84,038	2,376,423

Options – Industry Aggregate Trade Date Statistics January 10, 2025 – January 28, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-01-10	22,708,558,298	22,708,519,521	794,439	1,573,129
2025-01-13	22,208,858,754	22,208,701,017	50,097	232,335
2025-01-14	21,535,785,663	21,535,759,997	11,724	184,255
2025-01-15	21,922,612,304	21,922,582,314	28,031	213,205
2025-01-16	21,737,221,362	21,736,903,644	934,270	1,110,744
2025-01-17	22,265,696,434	22,265,652,554	14,261	244,187
2025-01-21	21,972,580,870	21,972,537,586	29,920	222,517
2025-01-22	21,371,450,745	21,370,413,178	1,574,158	1,836,826
2025-01-23	21,640,699,537	21,640,669,770	1,358,752	1,524,498
2025-01-24	22,161,747,939	22,161,712,442	8,059	201,975
2025-01-27	22,664,166,940	22,663,618,245	1,977,373	2,295,104
2025-01-28	22,284,502,411	22,284,132,319	23,146	904,906

Options – Industry Aggregate Trade Date Statistics January 29, 2025 – February 13, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-01-29	22,589,966,883	22,589,802,340	100,434	546,986
2025-01-30	22,746,327,252	22,746,145,718	1,536,240	1,946,904
2025-01-31	22,418,707,402	22,418,447,596	59,029	309,192
2025-02-03	21,483,458,657	21,483,250,027	1,090,481	1,380,265
2025-02-04	21,020,265,491	21,020,094,534	2,590	181,410
2025-02-05	21,082,354,549	21,082,168,967	763	187,985
2025-02-06	21,184,121,509	21,183,936,639	613	182,546
2025-02-07	21,686,049,881	21,685,841,273	3,196	231,143
2025-02-10	21,929,822,953	21,929,651,895	1,124	174,186
2025-02-11	21,993,188,814	21,993,023,889	5,886	168,270
2025-02-12	22,476,077,426	22,475,900,219	661	1,020,958
2025-02-13	22,972,705,052	22,972,505,946	9,445	2,234,591